

**UNITED STATES DISTRICT COURT  
DISTRICT OF NEW JERSEY**

ROBERT DE VITO, individually and on  
behalf of all others similarly situated,

Plaintiff,

v.

LIQUID HOLDINGS GROUP, INC.,  
BRIAN M. STORMS, KENNETH D.  
SHIFRIN, PETER R. KENT, RICHARD  
SCHAEFFER, BRIAN FERDINAND,  
JAY BERNSTEIN, DARREN DAVY,  
DAVID FRANCESCANI, WALTER  
RAQUET, THOMAS ROSS, VICTOR  
SIMONE, JR., DENNIS SUSKIND,  
ALLAN ZAVARRO, and SANDLER,  
O'NEILL & PARTNERS, LP.,

Defendants.

Civil Action No. 2:15-cv-06969-KM-JBC

**CERTIFICATE OF SERVICE**

This certifies that the following were filed electronically by defendant Robert D. Keller in support of his motion to dismiss the amended complaint pursuant to Rules 9(b) and 12(b)(6) of the Federal Rules of Civil Procedure:

1. Notice of Motion to Dismiss the Amended Complaint;
2. Memorandum of Law;
3. Declaration of Michael D. Hynes (with accompanying Exhibits); and
4. This Certificate of Service.

Notice of this filing will be sent by e-mail to all counsel of record by operation of the Court's electronic filing system. Parties may access this filing through the Court's CM/ECF system.

Dated: October 31, 2016

Respectfully submitted,

**DLA PIPER LLP (US)**

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